



Guidelines for the Implementation of the Post of Responsibility Structure in Second-Level Schools

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information and guidance
of members by the Association
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1

INTRODUCTION

This document is intended to provide information and guidance to ASTI members regarding appointments to posts of responsibility in second-level schools. A new Circular "Leadership and Management in Post-Primary Schools" CL 0003/2018 was issued in January 2018. This Circular superseded all previous Circulars in relation to posts of responsibility at Assistant Principal and Special Duties level and Programme Co-ordinator posts. While of necessity these ASTI Guidelines update much of the detail associated with the appointment process, this publication also contains republished policy positions long held by ASTI in the interests of its members.

While Circular 0003/2018 represented some restoration of posts of responsibility in second-level schools, following a moratorium on such appointments for several years previously, the ASTI remains committed to its campaign for full restoration.

Circular 0003/2018 introduced a revised post of responsibility structure in keeping with the leadership framework outlined in "LOOKING AT OUR SCHOOL 2016 – A quality framework for Post-Primary Schools". Based on a distributed leadership model, it sets out a competency based approach and processes for appointments to

- 1 Assistant Principal Posts I and II (AP I and AP II)
- 2 Programme Co-ordinator Posts at AP I and AP II level
- 3 Posts in Self-financing Adult Education Programmes

Existing post holders retain their posts under the terms of Circular 0003/2018. However, the title of their posts have changed to Assistant Principal I or Assistant Principal II respectively. In addition, the terms of new Circular 0003/2018 now apply to their posts going forward.

Moreover, the allowances previously paid to a Special Duties teacher or Assistant Principal were not changed. An Assistant Principal I (formerly Assistant Principal) will be paid a pensionable allowance of €8,520 (salary scale of October 2018) and an Assistant Principal II (formerly Special Duties teacher) will be paid a pensionable allowance of €3,769 (salary scale of October 2018).

1.1 Programme Co-ordinator Posts

In keeping with established practice, the post of Programme Co-ordinator remains in place for schools that offer LCA, JCSP, LCVP or the Transition year programme. This post does not form part of the normal cohort of school posts of responsibility and will cease to exist if and when the programmes listed above are no longer offered by the school.

The eligibility, advertising, selection and appeal processes set out in Circular 0003/2018 apply to these posts.

Also, in keeping with previous practice, a reduction in timetabled teaching hours applies, dependent on the number of students engaged in the programmes. However, should the number of pupils falls below 100 pupils where the Programme Co-ordinator had previously been in receipt of an allowance at AP I level, the co-ordinator will continue to hold the AP I level allowance but will have their reduction in hours readjusted in accordance with terms set out in the Circular.

Similarly, the allowance payable for a Programme Co-ordinator post is determined by the number of students engaged in the programmes. The allowance payable for a Programme Co-ordinator post will be at the level of an Assistant Principal II post in the case of schools with up to and including 99 students on these programmes and at the level of an Assistant Principal I post where the number of students is 100 or more.

2

THE NEW
MODEL

The idea underpinning the new model of middle management in schools is one of alignment of responsibilities of post holders to the identified needs of the school in a manner that is adaptable and flexible. Duties assigned should be supportive of the Principal and staff in the areas of management, welfare and administration within the school.

Circular 0003/2018 sets out the “Leadership Framework” as follows:

“The Quality Framework for Leadership and Management in Irish schools, set out in LOOKING AT OUR SCHOOL 2016 - a Quality Framework, provides a common understanding and language around the organisation and practice of leadership and management roles in Irish second-level schools. It identifies the key leadership and management areas in a school (domains), and these are then further divided into various content standards for each domain.

DOMAIN ONE: Leading Teaching and Learning
School leaders:

- promote a culture of improvement, collaboration, innovation and creativity in learning, teaching and assessment

- foster a commitment to inclusion, equality of opportunity and the holistic development of each student
- manage the planning and implementation of the school curriculum
- foster teacher professional development that enriches teachers’ and students’ learning

DOMAIN TWO: Managing the organisation

School leaders:

- establish an orderly, secure and healthy learning environment, and maintain it through effective communication
- manage the school’s human, physical and financial resources so as to create and maintain a learning organisation
- manage challenging and complex situations in a manner that demonstrates equality, fairness and justice
- develop and implement a system to promote professional responsibility and accountability

DOMAIN THREE: Leading school development

School leaders:

- communicate the guiding vision for the school and lead its realisation in the context of the school’s characteristic spirit
- lead the school’s engagement in a continuous process of self-evaluation
- build and maintain relationships with parents, with other schools, and with the wider community
- manage, lead and mediate change to respond to the evolving needs of the school and to changes in education

DOMAIN FOUR: Developing leadership capacity

School leaders:

- critique their practice as leaders and develop their understanding of effective and sustainable leadership
- empower staff to take on and carry out leadership roles
- promote and facilitate the development of student voice, student participation, and student leadership
- build professional networks with other school leaders.”

2.1 Burden of Duties

The move to a new structure and framework for appointments requires constant vigilance on the part of School Stewards and teaching staff to ensure that the interests of members are protected. In particular, it must be borne in mind that its introduction was not accompanied with any increase in remuneration or reduction of class contact time for undertaking the duties associated with these posts. Nor was it accompanied by full restoration of the previous levels of posts in schools. It is vital that the duties attached to the posts of Assistant Principal Posts 1 and 11 should have a level of responsibility and workload commensurate with the category and the allowance to be paid. The burden of duties attached to a post should not in any event be unreasonable or excessive since the post-holders' primary duties remain their teaching duties. The burden of post duties clearly should not impinge on the post-holders' primary function.

While the current Circular (CL 003/2018) does not contain a list of duties and responsibilities appropriate to posts, a useful guideline of what might pertain was to be found in previous Circular Letter 5/98 – Appendix one It provided as follows:

“The following are duties and responsibilities appropriate to posts. In larger schools, duties marked with asterisk are appropriate for Assistant Principal posts. Schools may combine duties for one post-holder or may assign more than one post-holder to a duty depending on the size of school and the level of responsibilities.

Duties and responsibilities appropriate to posts include:-

*Year Heads**

Assistant Year Heads

Class Tutor

*Responsibility for time-tabling arrangements**

*Responsibility for enrolment and monitoring of absenteeism**

*Co-ordinator of school plan**

Subject Co-ordinators

*Curricular Programme Co-ordinators**

Co-ordinator of Staff Development Programmes

*Co-ordination and implementation of school policies, e.g. discipline **

*Co-ordinator of Work Experience Programmes, including placement duties**

*Organisation /promotion of cultural activities**

*Organisation /promotion of sporting activities**

*Budgetary/Financial Administration Assistant**

*Organiser/co-ordinator of external school visits Examinations Secretary**

State Examinations co-ordinator School

Examinations co-ordinator

*Administration of School Library**

First Aid and Safety Officer (Fire Drills, etc.)

Liaison with Parents' Associations

Liaison with Department of Education and Science

Liaison with local community/press

Supervising the maintenance of and availability of school equipment

Supervising the maintenance and availability of facilities such as laboratories, P.E. halls, etc.

Stock Control

Co-ordination of school transport

Administration of special assistance schemes, e.g. free books.

The above list is not exhaustive.”

(Note: Circular Letter 5/98 is no longer extant – no new list of duties and responsibilities has been agreed).

3

POST OF RESPONSIBILITY STRUCTURE

3.1 Principles underpinning the Post of Responsibility Structure

A school's list of identified leadership and management needs and priorities is developed through a process of consultation with the teaching staff of the school. The ASTI Procedures on Consultation and Review which explain how to approach this process are contained in Appendix 1 to this document.

- (i) In distributing duties to posts, the duties should be inclusive so as to be open to applications from all eligible members of the teaching staff.
- (ii) All eligible applicants will be interviewed and the Board of Management/Manager/CEO will appoint the candidate(s) nominated by the Interview board.
- (iii) An independent appeals system is available to candidates who believe that the correct

procedure was not followed in the appointment(s). The ASTI Guidelines on the Submission of Appeals are contained in Appendix two to this document.

3.2. Objectivity

Circular 0003/2018 states the following:

"Every teacher has a leadership role within the school community and in relation to student learning. However, the term 'school leaders' typically refers to formal leadership roles including teachers with posts of responsibility who carry out roles and responsibilities integral to the administration, management and leadership of the school. Therefore, both leadership and management roles are considered at all times as serving the school's core work: learning and teaching."

4

CONSULTATION, COLLABORATION AND TEAMWORK

A key element of the arrangements set out in Circular letter 0003/2018 is the requirement for consultation with the teaching staff in the development of the school's list of identified leadership and management needs by way of bi-annual review or when the Board of Management/ETB determines that a significant restructuring is required.

4.1 Real Staff Participation

Schools are unique institutions. They are largely staffed by professionally qualified teaching staff. They should, therefore, operate on a collegial basis in terms of their organisational or management style rather than a hierarchical one. Opportunities for the staff for real participation in the decision making processes of the school should be provided (See 4.3). Through such a process of empowerment, the teaching staff will develop a greater sense of engagement with the work of the school and the learning environment will benefit accordingly.

4.2 Consensus

School management styles should foster collaboration and co-operation among the teaching staff. Approaches which seek to achieve a consensus among the teachers about the objectives of the school and a shared commitment to its ethos are most likely to lead to an effective and successful school. An approach to in-school management which seeks to promote a culture of a "management team" separated from the rest of the teachers and who take decisions without adequate consultations with their professional colleagues is likely to lead to conflict rather than engagement.

4.3 Transparent Consultation Process

It is of the utmost importance that the process of consultation about the school's in-school management structure is devoid of any suspicion that it is not an open and transparent process. In this context it should involve members of the teaching staff at all levels in every aspect of its development, including the development of discussion papers or draft proposals. Small but representative working groups may be established for such purposes. It is also of the utmost importance that the outcome of the consultative process is seen to have a major impact on the in-school management structure which is ultimately put in place.

5

DETERMINING POSTS

5.1 Inclusivity

One of the most basic principles of the arrangements is that the definition and description of posts should be inclusive so as to allow any competent eligible member of staff to apply.

In order to ensure that the principle of inclusivity is not frustrated, cognisance should be taken of it at all stages of the consultative process.

5.2 List of leadership and management needs and priorities of the school

In identifying the list of leadership and management needs and priorities of the school, it must be borne in mind that the associated duties should be of a curricular, administrative or pastoral nature. In assigning duties to posts, they should reflect the grade of the post, taking into account the level of responsibilities involved in the context of the size and needs of the school. In designing the school's

statement of roles and responsibilities, it must also be borne in mind that the school already has a cohort of post-holders with duties and that they are going to continue to form the majority of post-holders.

5.3 Insufficient posts to cater for the leadership and management needs of the school.

Arising from the consultation process, in accordance with the consensus model, the teaching staff and the Board of Management/ETB set out the leadership and management needs of the school. As there is a limited number of posts available to the school, it is likely that it will not be possible to assign all the needs identified.

5.4 The review process

The review process will be initiated by the BOM/ETB followed by a consultation with all staff after the BOM/ ETB have met.

The purpose of the review is to consider the leadership and management needs and priorities of the school going forward and to ensure that the current posts and new posts fulfil these needs.

The criteria that staff have to take into account during the consultation process are:

- (a) the leadership and management needs and
- (b) priorities of the school; and the roles and responsibilities which need to be performed for the effective leadership and management of the school.

The determination of the leadership and management needs and priorities of the school should, in so far as possible, be achieved by consensus between the Board of Management/ ETB and the teaching staff. However, if, following consultation, consensus is not possible, final decisions in the matter rest with the Board of Management/ ETB.

After the consultation process ends, the full list of identified leadership and management needs and priorities, as determined by this process, shall also be posted on the staff notice board and this shall be updated as necessary.

The required consultation process should be completed within 6 to 8 weeks. The meetings associated with this consultation process should form part of teachers' Croke Park Hours. A review process will take place at least once every two years or when the Board of Management/ETB determines that a significant restructuring is required.

5.5 Re-assignment and consultation

All post holders are subject to assignment / re-assignment in accordance with the new circular 0003/2018. This includes teachers who hold a post of responsibility on a personal basis.

Once the review process has been completed and the list of leadership and management needs and priorities of the school has been finalised, the Principal will consult with the new appointees and current post holders in relation to their duties. These roles and responsibilities shall be commensurate with the level of the post, either AP I or AP II.

The roles, responsibilities and objectives associated with the post will be discussed during the individual consultations with each post holder and may be linked to school self-evaluation. Assigned roles should be manageable and reflective of the level of the post. The grievance procedure may be utilised if the consultation process fails to resolve any disagreement that emerges.

5.6 Statement of Roles and Responsibilities

Once the individual consultations with the new appointees and the current post holders take place, the roles and responsibilities assigned to each post holder will be set out in a Statement of Roles and Responsibilities. See Appendix 2 of the new Circular 0003/2018.

The list of post holders, the level of their post and a summary of their roles and responsibilities shall be posted on the staff notice board when the above consultations are complete.

5.7 Annual report

An annual report is a document which must be completed by each post holder at the end of each school year. This must be submitted to the Principal / Deputy Principal.

It will refer to the specific roles and responsibilities assigned to the post holder and discuss the outcomes of the objectives set for the post holder at the beginning of the academic year.

This report will form part of the report on whole school leadership and management submitted to the Board of Management / ETB by the Principal / Deputy Principal.

A template for the Annual Report to be completed can be found at Appendix 3 of the circular 0003/2018.

5.8 Annual review

An annual review is a **discussion** between the post holder and Principal / Deputy Principal at the end of each school year. The review **discussion** will be informed by the post holder's annual report – see above and Paragraphs 12.2 and 12.3 of Circular Letter 0003/2018.

The review **discussion** involves a:

- (i) review of progress in the areas of responsibility;
- (ii) review of the role in the context of the changing needs of the school;
- (iii) review of professional development of the post holder.

This process requires the production of one document only. This document is the annual report as outlined above.

6

ELIGIBILITY
TO APPLY

To be eligible to apply for a leadership post in post-primary schools, applicants must be:

AP I level:

- fully registered under route 2 for voluntary secondary schools and C&C schools, route 2 or 3 in the ETB sector; and
- have a minimum of 3 years teaching service recognised by DES/ ETB for incremental credit purposes.

AP II level:

- fully registered under route 2 for voluntary secondary schools and C&C schools, route 2 or 3 in the ETB sector; and
- have a minimum of 1 years teaching service recognised by DES/ ETB for incremental credit purposes.

Practice Note

I refer to discussions between the Department, ACCS and the Staff side on the eligibility of Route 3 registered teachers to apply for Posts of Responsibility. The Department wishes to clarify that in the context of implementation of Circular 0003/2018, the following is the position:

To be eligible to apply for leadership posts in post primary schools in the Community and Comprehensive sector that provide both mainstream post-primary and Post-Leaving Certificate/Further Education programmes/courses, applicants must be fully registered with the Teaching Council under Route 2 or Route 3.

Teachers assimilated into a C&C school established through amalgamation and who are registered with the Teaching Council under Route 3 are eligible to apply for leadership posts in that (amalgamated) school, regardless of whether or not the school provides Post-Leaving Certificate/Further Education programmes/courses.

I would be grateful if you could bring this to the attention of the relevant schools in your sector.

*Department of Education & Skills
External Staff Relations
June 2018*

Notes:

Staff side: The 'Staff side' referred above are the teachers unions.

Route 2 or Route 3 registered teachers:

The registration of teachers is governed by Section 31 of the Teaching Council Acts 2001-2015. The Council registers teachers under the Revised Teaching Council (Registration) Regulations 2016. Teachers may apply for registration in the following sectors:

- Route 1 – Primary
- Route 2 – Post Primary
- Route 3 – Further Education
- Route 4 – Other

6.1 Job-sharing or teachers on leave

Job-sharing teachers are entitled to apply for a Post of Responsibility. Teachers on approved leave (paid/unpaid), for example, career break, maternity leave, secondment may also apply. In such instances, they will be required to undertake the roles/responsibilities of the post and will be paid the allowance in respect of the post only upon return to work.

6.2 Fixed term teachers

Where a fixed term teacher is successful in his/her application for a post of responsibility, the entitlement of that teacher to the post will lapse on the expiry of the fixed term contract except where the teacher has secured a CID.

6.3 Advertisement

Notice of any vacant post should be posted in a prominent position on the staff notice board within the school for a period of not less than 5 school days.

Members of staff on approved paid or unpaid leave of absence or on secondment, are eligible to apply and, therefore, a copy of the advertisement for the vacant post should be sent to them.

The notice shall specify the closing date for receipt of applications which should not be earlier than 10 school days after the initial date of posting of the notice in the school, or 5 school days in the case of acting-up posts.

The notice shall specify the level of the post, either AP I or AP II. The roles and responsibilities of the post will be based on the leadership and management needs and priorities of the school at that time, as agreed under the last review.

7

INTERVIEW
PROCESS**7.1 Interview Board**

The interview board under the new circular must have a gender mix.

The board must be comprised of the following:

Voluntary Secondary Sector: the school principal, one nominee of the Board of Management and an Independent Chairperson with professional experience in education to be drawn from a panel to be agreed with the unions.

ETB: the CE or the nominee of the CE (who may be the Principal), an ETB member and an independent member to be drawn from a panel agreed with the unions.

Designated Community Colleges: in accordance with the provisions of the Deed of Trust/Articles of Management for such schools.

Community and Comprehensive Schools: the school principal, Trustee nominee and one Independent member to be drawn from a panel to be agreed with the union(s).

7.2 Competency Based Interview

Interviews for posts will be conducted using a competency based model. Candidates for posts will be asked to demonstrate, using professional, educational and relevant life experience examples, their capacities in relation to:

- Leading Learning and Teaching
- Managing an Organisation
- Leading School Development
- Developing leadership capacity

**7.3 Marking Scheme:
2017/2018 and 2018/2019
school years**

The selection criteria for posts of responsibility is as follows:

- i. Leading Learning and Teaching – 22 marks
- ii. Managing an Organisation – 22 marks
- iii. Leading School Development – 22 marks
- iv. Developing Leadership Capacity – 22 marks
- v. Seniority – 12 marks

**7.4 Marking Scheme:
2019/2020 and succeeding
school years**

Seniority as a criterion is gradually being phased out.

As seniority will have been phased out at this stage, there will be four selection criteria from the 2019/20 school year.

These will be demonstrated with relevant examples of professional, educational and life experiences of:

- i. Leading Learning and Teaching – 25 marks
- ii. Managing an Organisation – 25 marks
- iii. Leading School Development – 25 marks
- iv. Developing Leadership Capacity – 25 marks

8

THE APPEALS PROCESS

Application forms for posts of responsibility shall include reference to a candidate being able to access, upon written request, all items set out below after they are notified of the outcome of the post of responsibility appointment process:

- i. His/her marks under each selection criterion
- ii. The notes of the interview board pertaining specifically to the candidate alone
- iii. The marks of the recommended candidate(s) under each criterion
- iv. For the 2017/2018 and 2018/2019 school years, service in the school/scheme of the most senior applicant.

This documentation must be provided to a candidate who is appealing, in advance of the appeal being heard.

The grounds for appeal are set out below:

- a) **Pre-interview:**
 1. Failure to consult staff on the roles and responsibilities as set out in 4.1
 2. Breach of the advertising rules
 3. Non-notification about the vacancy to teachers on leave of absence/ secondment

(b) **Composition of the Interview Board:**

4. Lack of gender mix on Interview Board
5. Incorrect/ incomplete composition of the Interview Board

(c) **Marking/Selection Criteria:**

6. A computational error in the Interview Board marking sheets which makes a material difference to the outcome
7. A departure from the agreed selection criteria or marking scheme.

Appeals in respect of competitions for posts of Assistant Principals I, Assistant Principal II and Programme Co-ordinator posts **shall be completed electronically on the agreed PDF appeal form**. Only appeals completed and submitted using the electronic form will be processed. Appeals submitted after the Appeal Date will not be processed.

8.1 Appeal Date

The final date and time (4.00 p.m.) for notification of appeals to the Secretary to the Board of Management/Manager/CE shall be stated in the letter outlining the outcome of the appointment process. The date will become known as the Appeal Date and will be at 4.00 p.m. on the seventh school day, with day one commencing on the day after the outcome of the process is issued.

Details of the Appeal procedure are set out at length in Circular 0003/2018.

8.2 Acting up in a post

Where an actual post holder is absent on approved paid or unpaid leave which expires **during** a school vacation period, and the Board of Management/ETB certifies that the acting roles and responsibilities were carried out up to the date of expiry, the acting up allowance may be paid up to the date of expiry only.

Where the actual post holder is absent on paid or unpaid leave until the date of **commencement** of a school vacation period an acting up allowance cannot be paid for the duration of the vacation period even if the Board of Management/ETB certifies that the acting up role was carried out.

Retention of an acting-up allowance by an acting post holder is permitted where the teacher has carried out the role for a period in excess of 5 consecutive school years. The teacher will retain the allowance on a personal basis.

However, acting up for teachers on career break or who are job-sharing is excluded.

APPENDIX 1

APPROACH TO CONSULTATION AND REVIEW OF SCHOOL MANAGEMENT AND LEADERSHIP NEEDS: ASTI PROCEDURES



1. INTERNAL ASTI PROCEDURES

1.1 ASTI Meeting:

The School Steward should convene a meeting of ASTI members to discuss the issues in relation to the Consultation and Review of school management and leadership needs prior to the consultative process commencing.

1.2 ASTI Policy:

The School Steward should ensure that ASTI members are aware of ASTI policy regarding workload etc. and should remind members of the need to accommodate the skills and aptitudes of those already in posts.

1.3 Status of Post:

The School Steward should also remind members of the need to ensure that the level of responsibility and volume of work attached to posts should be manageable and commensurate with the category of the post.

2. DEFINITION OF DUTIES TO BE ATTACHED TO POSTS

2.1 Existing Skills:

School stewards should point out to members that the post duties must take account of the existing skills and aptitudes of the existing post-holders.

2.2 Inclusive Duties:

Members should be reminded that duties should be inclusive so as to be open to applications from all eligible members of the teaching staff.

3. APPOINTMENT AND SELECTION OF TEACHERS FOR NEW POSTS

3.1 Interviews:

School Stewards should note that all eligible applicants for a post must be interviewed.

3.2 Appeals:

School Stewards should draw members' attention to the provisions of the appeals procedure in Circular 0003/2018 and to the ASTI Guidelines for the Submission of Appeals (see Appendix 2).

3.3 Grounds for Appeal:

School Stewards should draw members' attention to the grounds on which an appeal may be submitted as set out in Circular 0003/2018

Note: If there are any efforts made to obstruct union meetings or to prevent union representatives undertaking union business in schools, they should be reported immediately to ASTI head office.

APPENDIX 2**ASTI GUIDELINES
ON APPEALS****1. Circular Letter 0003/2018**

The Department of Education and Science Circular 0003/2018 letter sets out the leadership and management arrangements in second-level schools. This circular contains the appointment procedures for the filling of the posts of AP I and AP II teachers and the appeal procedure which may be utilised by applicants. Appeals should be submitted in accordance with the terms of this circular. Members should familiarise themselves with full details relating to appeals, as set out in the Circular, before submission of an appeal.

The following notes are for guidance only.

Considering an Appeal:

If a candidate wishes to appeal they can access the following documentation, upon written request, to assist them in formulating the appeal, after s/he is notified of the outcome of the Post of Responsibility appointment process:

- (i) His/her marks under each selection criterion
- (ii) The notes of the interview board pertaining specifically to the candidate alone
- (iii) The marks of the recommended candidate(s) under each criterion
- (iv) For the 2017/2018 and 2018/2019 school years, service in the school/scheme of the most senior applicant.

2. The Role of the Appeal Board:

The Appeal Board is appointed in accordance with the terms of Circular Letter 0003/2018. The decision of the Appeal Board will conclude all matters with respect to the appeal without prejudice to the rights of any of the parties to have recourse to litigation. Recourse to litigation, except in the most abnormal of circumstances which are difficult to envisage, is most unlikely to succeed.

3. The Role of the Appeal Board:

The role of the Appeal Board is set out in detail in Circular Letter 0003/2018. The ASTI and the appropriate managerial body each nominate a member to serve with an agreed independent chairperson to hear the appeal.

The ASTI nominated Appeal Board Member, in conjunction with his/her Appeal Board colleagues determine how to progress the appeal in accordance with the terms of the Circular. The role of the ASTI Board Member is not to favour any particular teacher involved in an appeal, be it the appellant(s) or the person who was appointed to the post, many of whom are likely to be members of the ASTI. His or her role is to ensure that the terms and procedures set out in the circular have been followed. In addition, the ASTI Appeal Board Member nominee brings their understanding and knowledge of the practicalities of the school system to the process.

The Role of ASTI Officials:

In appeals there are often two ASTI members involved, i.e. the appellant and the person recommended for appointment to the post. In this context, therefore, ASTI officials will give general advice to all ASTI members involved in an appeal and will give specific advice on matters of fact, e.g. appeal date, document access entitlements.

ASTI officials will not assist in the preparation of an appeal or represent members at appeal hearings. Likewise and for similar reasons, members of Standing Committee will not assist in the preparation of an appeal or represent members at appeal hearings.

4 Submitting the Appeal:

Appeals in respect of competitions for posts of Assistant Principals I, Assistant Principal II and Programme Co-ordinator posts shall be completed electronically on the agreed PDF appeal form. Only appeals completed and submitted using the electronic form will be processed. Appeals submitted after the Appeal Date will not be processed.

Appeal Date:

The final date and time (4.00 p.m.) for notification of appeals to the Secretary to the Board of Management/Manager/CE shall be stated in the letter outlining the outcome of the appointment process. The date will become known as the Appeal Date and will be at 4.00 p.m. on the seventh school day, with day one commencing on the day after the outcome of the process is issued

School days are defined as days in which the school is in operation for students. Where the outcome of the competition is notified to applicants in the week immediately prior to the June State examinations, the Appeal Date shall occur within the first 12 official examination days of the State examination period. If an appeal is received by this Appeal Date, no appeal hearing shall be convened before the next school year.

On receipt of an Appeal school management will notify the Appeals Board Secretariat of the Appeal Date. ACCS acts as the Appeal Board Secretariat for Community and Comprehensive schools. ASTI acts as the Appeal Board Secretariat for Voluntary Secondary Schools. ETBI acts as the Appeal Board Secretariat for ETBI Schools.

Section 18.11 of CL 003/2018 states:

The Secretary to the Board of Management/Manager/CE will forward the completed PDF management response form and supporting documentation to the Appeal Board Secretariat within **5 school days of the Appeal Date** and will simultaneously send a copy of the management response to the appellant.

5 Grounds for Appeal:

The grounds for appeal under CL 0003/2018 are set out below:

(a) Pre-interview:

1. Failure to consult staff on the roles and responsibilities as set out in 4.1
2. Breach of the advertising rules
3. Non-notification about the vacancy to teachers on leave of absence/ secondment

(b) Composition of the Interview Board:

4. Lack of gender mix on Interview Board
5. Incorrect/ incomplete composition of the Interview Board

(c) Marking/Selection Criteria:

6. A computational error in the Interview Board marking sheets which makes a material difference to the outcome
7. A departure from the agreed selection criteria or marking scheme.

The written appeal must set forth the grounds for the appeal. The Appeal Board can only base their decision on the information available to them, therefore the appellant should furnish the widest possible range of reasons to support the case being made.

If, for example, a teacher believes that their marks were incorrectly calculated the ground of the appeal is that the process through which the appointment was made was incorrect because a faulty calculation was applied.

Among the grounds for appeal that might arise are the following. (This list cannot of course be exhaustive and individual circumstances will determine the grounds for an appeal.)

- (a) The notice advertising the post was posted for less than a period of 5 school days.
- (b) Every reasonable effort was not made to bring the notice to the attention of all eligible teachers including members of staff on approved leave.
- (c) The successful candidate did not have the minimum service in the school.
- (d) The closing date for receipt of applications was earlier than 10 school days after the initial date of the posting of the notice.
- (e) The category of the post i.e. AP I or AP II was not specified.
- (f) The composition of the interview board was faulty e.g. the independent chairperson was not drawn from the appropriate panel or was not appointed by the board of management / manager; the nominee of the board of management / manager was not nominated by the board of management / manager
- (g) The criteria to determine the appointment were not applied or there was a departure from the agreed selection criteria or marking scheme.

6 Processing the Appeal:

The Appeal Board will undertake a preliminary review of each appeal submitted, the corresponding management response submitted, supporting documentation and relevant Department of Education circulars/forms appropriate to such competitions.

Where the Appeal Board, having considered the appeal and response, deems it unnecessary to conduct a hearing, it shall notify the appellant and the Secretary to the Board of Management/Manager/CE of the outcome of the appeal within 15 school days of the Appeal Date.

Where the Appeal Board, having considered the appeal and management response, decides that a hearing is necessary, it shall set a date for a hearing within 15 school days of the Appeal Date. In the case of ETBs, the venue will be organised by the ETB and any meetings/hearings that arise thereto.

7 The Hearing:

The Appeal Board may decide to hold a hearing at which to interview the parties.

Purpose of the hearing:

- a) To allow the parties to the appeal to present their respective positions on the appeal
- b) To allow each party to respond to the other party's position
- c) To allow the Appeal Board the opportunity to seek clarifications on various relevant matters.

The parties are bound by the terms of reference and protocol for the conduct of oral hearings as set out in Circular letter 0003/2018.

The parties are required to maintain confidentiality throughout this process and subsequent to the process.

The appellant may be accompanied by a work colleague or friend. The nature of appeal hearing is such that legal representation is not appropriate.

The Board of Management/Manager/CE may be represented by the Principal or a member of the Board and this person may be accompanied by a management colleague.

The normal rules of due process and fair procedures apply.

APPENDIX 3

**POST OF RESPONSIBILITY TEACHER
APPEAL FORM**

Post of Responsibility Teacher Appeal Form

Section A - Personal Details

Name

Address

Daytime Telephone Number

Mobile Contact N

Email ID

Verify Email ID

Sector

ETB

School

School Email ID

Verify School Email

Must be completed online

Section B - Notice of Appeal

I hereby give notice of appeal against the decision regarding the appointment to the post of

A separate appeal form should be used in the event of more than one competition.

Teacher Union



Section C - Grounds for Appeal

A candidate may only appeal on one or more of the grounds in (a) or (b) or (c) below.

No of Words

Where a ground is cited (box ticked) supporting evidence must be provided.

Please tick the box/boxes as appropriate

a) Pre-interview:

1. Failure to consult staff on the roles and responsibilities as set out in paragraph 4.1 of Circular Letter 0003/2018

Must be completed online

2. Breach of advertising rules

Must be completed online



3. Non-notification about the vacancy to teachers on leave of absence/secondment

Must be completed online

Composition of the interview Board

4. Lack of gender mix on Interview Board

Must be completed online



5. Incorrect/ incomplete composition of the Interview Board

Must be completed online

(c) Marking/Selection Criteria:

6. A computational error in the Interview Board marking sheets which makes a material difference to the outcome

Must be completed online

7. A departure from the agreed selection criteria or marking scheme

Must be completed online

This form should be forwarded to

For Office Use

Appeal Ref

Must be completed online



APPENDIX 4

POST OF RESPONSIBILITY MANAGEMENT RESPONSE TO APPEAL

Section A - Details

Name of Appellant

Sector

ETB

School

Management's Email ID

Verify Management's Email ID

Management's Correspondence Address

Daytime Telephone Number

Person administering the appeal on behalf of management

Title of the Post

Must be completed online

Section B - Time

The Appeal Date

Date of Receipt of App

Acknowledgement of appeal sent

Management Response date

Advertisement of Post

Section C - Response to grounds of appeal

The following is presented below by way of management's response to the appeal. Management may tick one, two or all three boxes as appropriate (maximum 1000 words in total between (a) and (b) and (c))

Appeal ground being responded to

Section C - Response to grounds of appeal

Appeal Ground (a) Pre-interview

No of Words

Must be completed online

Section C - Response to grounds of appeal

Appeal Ground (b) Composition of the Interview Board

Must be completed online

Section C - Response to grounds of appeal

Appeal Ground (c) Marking/Selection Criteria

Must be completed online

Please return this completed form and **supporting documentation** (if any) including documentation received by you in hard copy from the Appellant(s) - either scanned as .pdf or in hard-copy, marked "Private and Confidential" within seven school days of the Appeal Date to the Appeal Board Secretariat by return of email or by Post at the following address.

Section D - Official Use Only

Appeal Ref

Date of submission of management response to Appeal Secretariat

List supporting documentation submitted (if any)

Must be completed online

APPENDIX 5

INDEPENDENT CHAIRPERSONS/MEMBERS Identification of Independent Chairpersons/Members for Selection Boards for Posts of Responsibility

In the Voluntary Secondary School system the following applies.

Managers / Boards of Management are asked to identify suitable people in their local area who fulfil the criteria set out below and who would make themselves available, if required, to chair selection boards for posts of responsibility.

The names and qualifications of such persons are to be submitted to the Department of Education and Skills who maintain a list of all such persons. The list forms the agreed National Panel. The list is available to the ASTI and the JMB. The names of the Chairpersons of Selection Committees must be on the list before they serve on a Selection Committee.

The Manager/Board of Management will be advised that where possible more than one person should be nominated by each school in case a particular nominee is unavailable when required.

Criteria:

- (a) An involvement or experience in second-level education and
- (b) An involvement in or experience of selection committees.

Selection Categories for Independent Chairpersons (not in any order of priority):

- 1 Principals or Deputy Principals of other second-level schools.
- 2 Retired Department of education Inspectors or Senior Officials.
- 3 Staff in Third Level Institutions (Universities, Teacher Training Institutions, DITs and RTCs).
Professional Staff in Education Departments.
Professional Staff in Human Resource or industrial Relations Departments.
- 4 Members of Civil Service or Local Appointments Commission.
- 5 Personnel or Human Resource Managers of local industries.
- 6 Members of Boards of Management of second-level schools.

The Manager/Board of Management appoints the Chairperson of the Selection Committee from the list.

For competitions held for posts in Community and Comprehensive Schools a similar list is maintained by ACCS.

For competitions held for posts in ETB Schools a similar list is maintained by the individual ETB.

APPENDIX 6

LEADERSHIP AND MANAGEMENT IN
POST-PRIMARY SCHOOLS

Circular Letter 0003/2018



To: The Managerial Authorities of
Recognised Secondary, Community and Comprehensive Schools and
The Chief Executives of Education and Training Boards

LEADERSHIP AND MANAGEMENT IN POST-PRIMARY SCHOOLS

High quality leadership is crucial in establishing a shared purpose and vision for a school and to the achievement of high quality educational outcomes for students. The primary purpose of school leadership and management is to create and sustain an environment that underpins high quality in student care, learning and teaching.

This circular, in the context of commencement of the restoration of posts, sets out a leadership and management framework for posts in recognised post-primary schools. This circular supersedes all previous circulars, memoranda, rules and regulations in relation to posts of responsibility at Assistant Principal level (including Special Duties Teachers) and Programme Co-ordinator posts and is to be implemented by each employer with immediate effect.

Posts of responsibility in relation to Self-Financing Part-Time Adult Education programmes are provided in accordance with Circulars 46/00 and 16/05.

Please ensure that copies of this circular are provided to all members of the Board of Management/ Education and Training Board and brought to the attention of all teachers in your employment including those on approved paid or unpaid leave of absence or on secondment.

This Circular can be accessed on the Department's website under www.education.ie

All queries should initially be brought to the attention of the school management who may wish to consult with their representative organisation/ ETB. Queries in relation to the allocation of posts should be raised with the Teacher Allocations Section of the Department: allocations@education.gov.ie Any further queries may be directed to the following email address: teachersna@education.gov.ie

Alfie Barrett
Teacher/SNA Terms and Conditions Division
16 January 2018

Paraic Joyce
Allocations Section
16 January 2018

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DEFINITIONS

For the purposes of this scheme the following terms shall have the meanings assigned to them here:

Act – refers to the Education Act of 1998 and Education (Amendment) Act 2012;

Board of Management – means a Board of Management/Manager in the case of voluntary secondary schools, community and comprehensive schools and ETB schools;

Department – means the Department of Education and Skills;

ETB – refers to an Education and Training Board;

Minister – refers to the Minister for Education and Skills;

Post – refers to a teaching post, whether full time or part time, which is funded out of monies provided by the Oireachtas;

School Year – 1st of September to 31st of August of the following calendar year is the official school year for contract purposes;

Teacher – means a person registered with the Teaching Council;

Teaching service recognised by DES – means teaching service which the Department of Education and Skills/ Education and Training Board (ETB) has recognised for incremental credit purposes.

1. Introduction

- 1.1 School leaders play a key role in improving educational outcomes by creating a positive school climate and environment as well as motivating and empowering educators and learners within their school community. It is generally accepted that the quality of school leadership is second only to effective classroom teaching, in having a crucial impact on student learning.
- 1.2 Leadership in a school context, creates a vision for development leading to improvements in outcomes for learners, and is based on shared values and robust evaluation of evidence of current practice and outcomes. In this way, leadership is distributed throughout the school as a key support for student learning.
- 1.3 Any system of school leadership and management should build on and consolidate existing school leadership and management structures in schools in line with best practice as set out in 'LOOKING AT OUR SCHOOLS 2016 – A Quality Framework for Post-Primary Schools'. The leadership model should align the responsibilities of senior (Principal/Deputy Principal) and middle leadership (post holders) more clearly to the identified needs and priorities of the school, underlining the range of responsibilities for various leadership roles and providing opportunities for teachers to develop their leadership capacity.
- 1.4 Flexibility in identifying and prioritising the evolving leadership and management needs of the school and in assigning and re-assigning post holders to specific roles and responsibilities to meet the evolving needs of a school, is an essential feature of this school leadership model.
- 1.5 This circular, the terms of which are applicable to all holders of posts of responsibility, comprehends the agreement of September 2016 and contains the following:
 - Allocation of Assistant Principal posts.
 - The enhancement of a distributed leadership model in post primary schools.
 - The re-designation of the current post of Assistant Principal as Assistant Principal I and the current post of Special Duties Teacher as Assistant Principal II.
 - Revised criteria for appointment to Assistant Principal I and II posts using a competency based model.
 - Greater flexibility in relation to the assignment and re-assignment of roles and responsibilities to Assistant Principal I and Assistant Principal II posts holders appropriate to the level of the post.
 - An appeals procedure confined to an alleged breach of procedures in the appointment process as set out in this Circular.
 - Provision for reporting and regular review.
- 1.6 All parties agree that consistency, fairness and probity are essential in any appointment process, and this is to be reflected in the arrangements to make appointments set out in this Circular.

2. Leadership Framework

- 2.1 The Quality Framework for Leadership and Management in Irish schools, set out in LOOKING AT OUR SCHOOL 2016 - a Quality Framework, provides a common understanding and language around the organisation and practice of leadership and management roles in Irish second-level schools. It identifies the key leadership and management areas in a school (domains), and these are then further divided into various content standards for each domain.

Domain One: Leading Teaching and Learning

School leaders:

- promote a culture of improvement, collaboration, innovation and creativity in learning, teaching and assessment
- foster a commitment to inclusion, equality of opportunity and the holistic development of each student
- manage the planning and implementation of the school curriculum
- foster teacher professional development that enriches teachers' and students' learning

Domain Two: Managing the organisation

School leaders:

- establish an orderly, secure and healthy learning environment, and maintain it through effective communication
- manage the school's human, physical and financial resources so as to create and maintain a learning organisation
- manage challenging and complex situations in a manner that demonstrates equality, fairness and justice
- develop and implement a system to promote professional responsibility and accountability

Domain Three: Leading school development

School leaders:

- communicate the guiding vision for the school and lead its realisation in the context of the school's characteristic spirit
- lead the school's engagement in a continuous process of self-evaluation
- build and maintain relationships with parents, with other schools, and with the wider community
- manage, lead and mediate change to respond to the evolving needs of the school and to changes in education

Domain Four: Developing leadership capacity

School leaders:

- critique their practice as leaders and develop their understanding of effective and sustainable leadership
- empower staff to take on and carry out leadership roles
- promote and facilitate the development of student voice, student participation, and student leadership
- build professional networks with other school leaders

3. Leadership and Management in Post-Primary Schools

Every teacher has a leadership role within the school community and in relation to student learning. However, the term 'school leaders' typically refers to formal leadership roles including teachers with posts of responsibility who carry out roles and responsibilities integral to the administration, management and leadership of the school. Therefore, both leadership and management roles are considered at all times as serving the school's core work: learning and teaching.

3.1 Board of Management/ ETB

Under Section 15 of the Education Act, 1998, a statutory duty is placed on the Board of Management of a school to ensure that an appropriate education is provided to all of the school's students.

In order to carry out this duty effectively, appropriate and regular oversight by the Board of Management/ ETB, as appropriate, of the teaching and learning in the school should take place. Furthermore, the Board of Management/ ETB, as appropriate, can and should play a key role in improving standards in the school. In this regard, and from a leadership perspective, Boards of Management/ ETBs have significant responsibilities in setting the direction of a school.

3.2 Principal and Deputy Principal

In accordance with Section 22 of the Education Act, 1998, and Section 23 of the Education (Amendment) Act 2012, the Principal provides leadership to teachers, other staff, to the students and the wider school community. The Principal has overall authority, under the direction of the Board of Management/ ETB, as appropriate, for the day-to-day management of the school. The

Principal has overall responsibility for the internal organisation of the school, including the assignment of roles and responsibilities to members of the teaching and non-teaching staff. The Principal submits to the Board of Management/ ETB, as appropriate, all such statements and reports affecting the conduct of the school as the Board of Management/ ETB requires.

While the Principal is ultimately responsible to the Board of Management/ ETB, as appropriate, for the management and leadership of the school, the Deputy Principal occupies a position of vital importance within the senior leadership team in a school. Shared leadership requires openness and willingness on the part of Principals and Deputy Principals to share and to distribute leadership and management responsibilities amongst them in a manner that encourages and supports partnership. The Deputy Principal(s) co-operate/s with the Principal in the fulfilment of his/her role and acts or deputise/s as the Principal in the Principal's absence.

3.3 Assistant Principal Posts

Under the provisions of this Circular the current post of Assistant Principal is re-designated as Assistant Principal I (AP I) and the current post of Special Duties Teacher is re-designated as Assistant Principal II (AP II). All terms of this Circular, including the re-designation (renaming) of posts, are applicable to all holders of Assistant Principal and Special Duties posts, irrespective of the date of their appointment.

Assistant Principals occupy positions of strategic importance in the leadership, management and administration of the school. In line with the principles of distributed leadership, Assistant Principals work in teams in collaboration with the Principal and/or Deputy Principal and have shared responsibility, commensurate with the level of the post (i.e. AP I or AP II), for areas such as:

- curriculum and learning
- student support and wellbeing
- school improvement
- leadership/management and development of staff teams

3.4 Programme Co-ordinator

- (i) A post of Programme Co-ordinator will be established in each school offering one or more of the following programmes:
 - Leaving Certificate Applied Programme
 - Leaving Certificate Vocational Programme
 - Transition Year
 - Junior Certificate Schools Programme
- (ii) The post does **not** form part of the normal cohort of school posts of responsibility and will lapse when none of the programmes listed above is being provided by the school.
- (iii) The eligibility, advertising, selection and appeal processes outlined in this circular are also applicable to Programme Co-ordinator posts.
- (iv) Remuneration will be at the level of the allowance for an Assistant Principal II post in the case of schools with up to and including 99 students on these programmes and at the level of allowance for an Assistant Principal I post where the number of students is 100 or more.
- (v) A school will arrange to provide a reduction in teaching hours to Programme Co-ordinator post holders for co-ordination purposes from within the overall hours available to the school. The reduction in hours will be on the following basis:

Number of Students	Hours	Reduction per week
1-59		2
60-99		3
100-139		4
>140		6

The reduction in teaching hours will be compensated for by way of a commensurate concession in the teacher allocation to the school.

- (vi) In the event that the number of students in relevant programmes falls below 100 students where the Programme Co-ordinator had previously been in receipt of an allowance at Assistant Principal I level, the Co-ordinator will continue to hold the AP I level allowance but will have the reduction in hours provided in accordance with the table in (v) above.
- (vii) Appointment to a Programme Co-ordinator post shall have no implication for subsequent appointment to an AP I or AP II post of responsibility.
- (viii) Any existing post of responsibility holder may apply for a Programme Co-ordinator post and, if appointed, will receive the higher of the relevant allowances and the reduction in teaching hours. The post of responsibility thus vacated will be filled on a temporary basis at the level of the Programme Co-ordinator post or at the level of the vacated post of responsibility, if lower, and this temporary post will be retained by the school for as long as the Programme Co-ordinator post is warranted and the post-holder, thus appointed, does not revert to his/her original post. The reduction in teaching hours deriving from the level of the Programme Co-ordinator post will be compensated to the school for the purposes of co-ordination and fulfilment of roles having regard to the needs and priorities of the school. A post holder thus appointed may opt to revert to his/her original post status from the beginning of a school year having given notice of his/her intent at the latest by 1st May of the previous school year. Any revision of roles necessitated by this arrangement will be carried out in accordance with the terms of this circular.
- (ix) The holder of a Programme Co-ordinator post may apply for and be appointed to an Assistant Principal I or Assistant Principal II post of responsibility under the terms of this circular. If appointed, the teacher may retain the role of Programme Co-ordinator and the higher of the relevant allowances. The post of responsibility may be filled on a temporary basis at the appropriate level, for as long as the teacher appointed to the post of responsibility is carrying out the role of Programme Co-ordinator.
- (x) Arising from (ix) immediately above, a new competition will be held for the temporary post of responsibility retained by the school where an increase in the level of that temporary post is warranted by an increase in the level of the Programme Co-ordinator post.
- (xi) The roles and responsibilities attached to the post of Co-ordinator should reflect the level of the post (AP I or AP II) taking into account the size, needs and priorities of the school. Therefore, the role and responsibilities of the Programme Co-ordinator will vary according to the number of relevant programmes being offered by the school, the number of students taking different programmes and the reduction of teaching hours available with the post. In the distribution of responsibilities, where there is more than one programme involved, the Programme Co-ordinator may be assigned responsibility mainly for one programme.
- (xii) In implementing the programmes referred to at 3.4 (i) above, following consultation with those involved, roles and responsibilities in relation to the programmes will be assigned to the available personnel, as provided for in 6.1 below, according to the needs and priorities of the school. In a school that offers more than one programme and/or where there are significant numbers of students taking a programme, roles in relation to the programmes may be assigned to other teachers, who may be post of responsibility holders, in addition to the Programme Co-ordinator.

3.5 Self-Financing Adult Education Programme

Outside of the revised allocation of posts at 5.1, schools offering Self-Financing Part-Time Adult Education programmes may appoint teachers to posts of responsibility in accordance with Circulars 46/00 and 16/05.

4. Identification of Leadership and Management Needs and Priorities of the School

- 4.1 A review process shall occur at least once every two years or when the Board of Management/ ETB, as appropriate, determines that a significant restructuring is required. Following initiation of a review process by the Board of Management/ ETB, as appropriate, a consultation process between the Principal, Deputy Principal(s) and the teaching staff shall occur. The required consultation process should be completed within 6 to 8 weeks and will inform:
- (i) the leadership and management needs and priorities of the school and
 - (i) the roles and responsibilities which need to be performed for the effective leadership and management of the school.
- 4.2 When identifying the leadership and management needs and priorities of the school, developed through the process described at 4.1 (i), Boards of Management/ ETBs, as appropriate, shall firstly have regard to legal obligations and may have regard to:
- the school's Mission Statement and its aims and objectives;
 - the student and curricular profile of the school;
 - requirements of the Department of Education and Skills (and the ETB in the case of an ETB school) and its agencies;
 - roles being carried out by current post holders and contractual responsibilities being undertaken by other members of staff.
- 4.3 The determination of the leadership and management needs and priorities of the school should, in so far as possible, be achieved by consensus between the Board of Management/ ETB and the teaching staff. However, if, following consultation, consensus is not possible, final decisions in the matter rest with the Board of Management/ ETB.
- 4.4 The full list of identified leadership and management needs and priorities, as determined by this process, shall also be posted on the staff notice board and this shall be updated as necessary.

5. Allocation of Assistant Principal Posts

- 5.1 Assistant Principal posts of responsibility may be filled by Boards of Management/ ETBs, as appropriate, in accordance with the allocation set out in the *Table 5.1.1* below subject to full adherence to this Circular. This revised allocation of posts is effective from the date of this Circular. The number of Assistant Principal posts in a school is determined by reference to the final approved teacher allocation for the previous school year excluding supernumerary posts and substitution allocation. Schools that fall below the Assistant Principal thresholds set out in the following table can fill Assistant Principal vacancies as they arise to the level of the thresholds set out in this table.

Table 5.1.1

WTE	AP I	AP II
4 - 8	0	1
9 - 12	1	1
13	1	2
14 - 17	2	2
18	2	3
19 - 20	3	4
21 - 24	3	5
25 - 26	4	5
27 - 33	4	6
34 - 37	5	6
38 - 43	5	7
44 - 46	6	7
47 - 49	7	8
50 - 55	7	9
56 - 64	7	10
65 - 80	8	10
81 +	8	11

- 5.2 A post of responsibility held on a personal basis must be counted when calculating the threshold of Assistant Principal posts. In amalgamation situations, where a Principal or Deputy Principal does not assume the role in the new school they retain the post of responsibility allowance on a personal basis subject to performing the relevant roles and responsibilities at a minimum level of AP I. In the case of redeployment of a post of responsibility holder in circumstances of a school closure, refer to the *Redeployment Scheme for Post Primary Qualified Teachers Surplus to Requirements in Consequence of School Closure*.

The thresholds for AP I and AP II post holders operate independently of each other. Where a school has excess AP I posts, this will not prevent the appointment of an AP II post where the number of AP II posts is less than the threshold.

6. Assignment of Roles and Responsibilities

- 6.1 Having followed the process set out in Section 4 of this Circular, it is a matter for the Board of Management/ ETB, as employer, to prioritise and re-organise the appropriate roles and responsibilities for post of responsibility holders to meet the changing leadership and management needs and priorities of the school.
- 6.2 All post holders are subject to assignment/ re-assignment in accordance with this Circular. Furthermore, post holders who are being paid an allowance in a school, including holders of post of responsibility allowances on a personal basis, must undertake roles and responsibilities assigned or re-assigned to them.
- 6.3 Assignment/ re-assignment of roles and responsibilities to Assistant Principals is delegated by the Board of Management/ ETB, as appropriate, to the Principal. In assigning roles and responsibilities to teachers newly appointed to Assistant Principal I or Assistant Principal II posts, or in re-assigning roles and responsibilities (to and among existing post holders), the Principal will consult with the appointee or post holder and will have regard to the current needs and priorities of the school as determined by the process set out in Section 4 of this Circular. These roles and responsibilities shall be commensurate with the level of the post (AP I or AP II).
- 6.4 The roles, responsibilities and objectives associated with the post should be discussed during the consultation with each post holder and may be linked to school self-evaluation and some strategies used in school self-evaluation may be applicable. It is critical that the assigned roles and responsibilities continue to be relevant to the school's needs and priorities.
- 6.5 The roles and responsibilities of each post holder will be set out in a Statement of Roles and Responsibilities. The statement shall be completed on initial assignment or subsequent re-assignment of the post holder. (See Appendix 2 for template).
- 6.6 A list of the post holders, the level of their post and a summary of their roles and responsibilities shall be posted on the staff notice board and this list shall be updated as necessary.
- 6.7 Post holders who hold post of responsibility allowances on a personal basis must undertake post of responsibility roles and responsibilities at AP I or AP II level, as appropriate. Principals and Deputy Principals, who hold allowances on a personal basis, must undertake post of responsibility roles and responsibilities at a minimum level of AP I.
-

7. Eligibility

To be eligible to apply for leadership posts in post-primary schools, applicants must be:

Post	Criteria
Assistant Principal I and Programme Co-ordinator (AP I level)	<ul style="list-style-type: none"> ■ fully registered under route 2 for voluntary schools and C&C schools, route 2 or 3 in the ETB sector and ■ have a minimum of 3 years teaching service recognised by DES/ ETB for incremental credit purposes
Assistant Principal II and Programme Co-ordinator (AP II level)	<ul style="list-style-type: none"> ■ fully registered under route 1 2 for voluntary schools and C&C schools, route 2 or 3 in the ETB sector and ■ have a minimum of 1 years teaching service recognised by DES/ ETB for incremental credit purposes

8. Advertising

- 8.1 Notice of any vacant post shall be posted in a prominent position on the staff notice board(s) within the school for a period of not less than 5 school days, i.e. days on which the school is in operation for students.
- 8.2 Members of staff on approved paid or unpaid leave of absence or on secondment, are eligible to apply and, therefore, a copy of the advertisement for the vacant post shall be sent directly to the designated contact addresses or email addresses of such teachers.
- 8.3 The notice shall specify the closing date for receipt of applications which should not be earlier than 10 school days after the initial date of posting of the notice in the school. In the case of acting-up posts the closing date for receipt of applications should not be earlier than 5 school days after the initial posting of the notice in the school.
- 8.4 The notice shall specify the level of the post (AP I or AP II). The appointee will become part of the leadership and management team in the school. The roles and responsibilities of the post will be based on the leadership and management needs and priorities of the school and will be commensurate with the level of the post.
- 8.5 In relation to Programme Co-ordinator posts, the notice shall specify that the post is that of Programme Co-ordinator with remuneration at AP I level or Programme Co-ordinator with remuneration at AP II level. The notice shall also specify the number of students involved in the programmes on the 30th September of the previous school year and the reduction in teaching hours that will apply. Where a school did not previously operate any of the programmes referred to at 3.4 (i), on first introduction of one or more of these programmes, the level of the post and the reduction in hours will be based on an estimate of the number of students taking the programmes in the first year.
- 8.6 Teachers who wish to apply for the advertised post should make an application to the Secretary of the Board of Management/ HR Department of the ETB, as appropriate, within the time limit specified on the notice
- 8.7 All application forms for posts of responsibility shall include reference to a candidate being able to access, upon written request all items listed (i) to (iv) below after s/he is notified of the outcome of the post of responsibility appointment process:
- (i) His/her marks under each selection criterion
 - (ii) The notes of the interview board pertaining specifically to the candidate alone
 - (iii) The marks of the recommended candidate(s) under each criterion
 - (iv) For the 2017/2018 and 2018/2019 school years, service in the school/scheme of the most senior applicant.

1 The registration of teachers is governed by Section 31 of the Teaching Council Acts 2001-2015. The Council registers teachers under the Teaching Council [Registration] Regulations 2016 and The Teaching Council [Registration] (Amendment) Regulations 2016. Teachers may apply for registration in the following sectors:

○ Route 1 – Primary ○ Route 2 – Post-primary ○ Route 3 – Further Education

9. Interview Board

An interview board shall be constituted and shall comprise of:-

Assistant Principal and Programme Co-ordinator posts	
Voluntary secondary schools	<ul style="list-style-type: none"> ● The School Principal ● 1 Nominee of the Board of Management ● An Independent Chairperson with professional experience in education to be drawn from a panel to be agreed with the union(s).
Post-primary schools administered by ETBs	<ul style="list-style-type: none"> ● CE or the nominee of the CE (may be the Principal) ● ETB representative (member). In exceptional circumstances where an ETB cannot source an ETB representative (member) for the particular interview process and this is advised in advance of that interview process to the Chair of the ETB Board by the CE, the position shall be filled by a nominee of the ETB drawn from a panel endorsed by the Chief Executive and agreed by the Board and comprising persons only from within the geographical area of the ETB. ● 1 Independent member to be drawn from a panel to be agreed with the union(s). <p>Note: Chairperson can be drawn from either the ETB representative or the independent member.</p>
Designated Community Colleges	<ul style="list-style-type: none"> ● In Designated Community Colleges, the selection board for Assistant Principal I, Assistant Principal II and Programme Co-ordinator posts shall be constituted in accordance with the provisions of the Deed of Trust/Articles of Management which specify the composition of such boards. The Inspector's position on the board shall be understood to mean an Inspector or retired Inspector. The following should be noted in this regard: <ul style="list-style-type: none"> (i) The wording from the relevant paragraph in the Deed/Articles re. the composition of the selection board must be included in the letter notifying the candidates of interview in such circumstances. (ii) The Department of Education and Skills has previously stated that serving Inspectors will not be available to act as members of selection boards. (iii) In the event that an ETB is unsuccessful in sourcing a retired Inspector for a selection board, the Inspector's position on the board shall be replaced by an independent member to be drawn from a panel to be agreed with the union(s). <p>In Designated Community Colleges where the Deed of Trust/Articles of Management do not make provision for the composition of the selection board for Assistant Principal I, Assistant Principal II and Programme Co-ordinator posts, the selection board shall be constituted in accordance with the arrangements for the ETB sector (i.e. a three-person board as set out in the column above).</p>
Community and Comprehensive Schools	<ul style="list-style-type: none"> ● The School Principal ● Trustee nominee ● 1 Independent member to be drawn from a panel to be agreed with the union(s). <p>Note: Chairperson can be drawn from either the Trustee nominee or the independent member</p>

The interview Board must have a gender mix, at least one woman and at least one man.

10. Selection Criteria and Marking Scheme

10.1. Selection for posts of responsibility has been revised. This will entail the phasing out of Seniority/Length of Service as a stand-alone criterion, while still reflecting the value of a teacher's experience and how that contributes to his/her capacity to meet the requirements of the post.

10.2. **2017/2018 & 2018/2019 School Years: -**

Table 10.2.1 below details revised selection criteria based on the Leadership Framework set out in Section 2 above, a revised marking scheme and the phasing out of Seniority/Length of Service as a stand-alone criterion (see Appendix 1). This will be applicable to all Assistant Principal I and Assistant Principal II appointments (including Programme Co-ordinator appointments) from the publication of this Circular for both the 2017/2018 and 2018/2019 school years.

Table 10.2.1

Assistant Principal I, Assistant Principal II and Programme Co-ordinator Posts		
i.	Seniority (see Appendix 1)	12 marks
Demonstrated capacity (with relevant examples of professional, educational and life experience) in relation to:		
ii.	Leading Learning and Teaching	22 marks
iii.	Managing an Organisation	22 marks
iv.	Leading School Development	22 marks
v.	Developing leadership capacity	22 marks

10.3. **2019/2020 School Year and thereafter: -**

Table 10.3.1 sets out the revised selection criteria based on the Leadership Framework (see Section 2) and a revised marking scheme. This will apply to all Assistant Principal I and Assistant Principal II appointments (including Programme Co-ordinator appointments) from school year 2019/2020 onwards.

Table 10.3.1

Assistant Principal I, Assistant Principal II and Programme Co-ordinator Posts		
Demonstrated capacity (with relevant examples of professional, educational and life experience) in relation to:		
i	Leading Learning and Teaching	25 marks
ii.	Managing an Organisation	25 marks
iii.	Leading School Development	25 marks
iv.	Developing leadership capacity	25 marks

10.4. In addition to the guidance provided in "Looking At Our Schools 2016 A Quality Framework for Post-Primary Schools," further guidance on completing a competency based application will be provided to candidates in the relevant application form.

11. Appointment of the Recommended Candidate

- 11.1 The Interview Board, having interviewed such eligible applicants as present themselves, shall submit a written report to the Board of Management/CE nominating the recommended candidate(s) in highest ranking order for the post(s) advertised. The Board of Management/CE shall appoint the candidate(s) nominated by the Interview Board.
- 11.2 No teacher may hold more than one post of responsibility at any one time.
- 11.3 Appointment to a post of responsibility will be conditional on the teacher being available to carry out the roles and responsibilities assigned to the post. The responsibilities of such posts may require the post holder to fulfil some of their responsibilities outside of school hours and/or over the course of the entire school week.
- 11.4 The undertaking of these roles and responsibilities by a fixed term/part time/job sharing teacher may require a change in the attendance requirements of such a teacher on appointment to a post of responsibility. Any change in attendance will not result in any less favourable treatment than their whole time permanent comparator. Where a fixed term teacher is successful in his/her application for a post of responsibility, the entitlement of that teacher to the post will lapse on the expiry of the teaching contract except where the fixed term teaching contract is followed immediately by a Permanent/CID or further fixed term teaching contract in the school.

12. Reporting and Review

- 12.1 All post holders are accountable to the Board of Management/ ETB, as appropriate, for the fulfilment of their roles and responsibilities and how their work contributes to the pedagogic and organisational advancement of the school.

12.2 Annual Report

Each post holder will provide an annual report to the Principal/ Deputy Principal, as appropriate, with reference to the objectives set for the post holder in relation to his/ her assignment/ re-assignment to specific roles and responsibilities. This report will inform a discussion with the Principal/ Deputy Principal (see 12.3 and Appendix 3 for template annual report). These reports will form part of the Principal's annual report on leadership and management in the school to the Board of Management/ ETB.

12.3 Annual Review

A post holder is required to undertake an annual review with the Principal/ Deputy Principal. This review will be informed by the post holder's annual report – see Appendix 3 and Section 12.2 above.

The review will include:

- (i) review of progress in the areas of responsibility;
- (ii) review of the role in the context of the changing needs of the school;
- (iii) review of professional development of the post holder.

- 12.4. As the needs and priorities of the school continuously evolve, this review may result in re-assignment of the post holder's role and responsibilities within the leadership and management team. Cognisance should also be taken of the importance of providing opportunities for post holders to build on and develop leadership skills and capacities within a number of leadership roles. This is seen as an important element of their own professional development.

13. Payment of an “Acting-up” Assistant Principal Allowance

- 13.1 Where a school does not have post holder(s) in excess of the thresholds provided in Table 5.1.1 and an actual post holder is absent on approved paid or unpaid leave for a minimum period of 84 consecutive days, a teacher who carries out the role of Assistant Principal while the actual post holder is absent may be paid the appropriate allowance subject to the conditions at 13.2 and 13.4 below.

- 13.2 Payment of the allowance will be subject to the Board of Management/ ETB certifying that the teacher fulfilled the role and responsibilities associated with the post while the actual post holder was on approved paid or unpaid leave of absence for a minimum period of 84 consecutive days.
- 13.3 Subject to the actual post holder being absent for a minimum period of 84 consecutive days, payment will be made retrospectively. In the case of an acting appointee replacing a teacher on sick leave, the allowance will be paid on a fortnightly/ monthly basis provided medical certification of absence in respect of the actual post holder has been recorded on the OLCS system/relevant ETB system.
- 13.4 Where an actual post holder is absent on approved paid or unpaid leave which expires during a school vacation period, and the Board of Management/ ETB, as appropriate, certifies that the acting roles and responsibilities were carried out up to the date of expiry, the acting up allowance may be paid up to the date of expiry only. Where the actual post holder is absent on paid or unpaid leave until the date of commencement of a school vacation period an acting allowance cannot be paid for the duration of the vacation period even if the Board of Management/ ETB, as appropriate, certifies that the acting role was carried out.
- 13.5 Applications for payment under the terms of this Circular should be submitted on the appropriate form to the Department's Payroll Section/ ETB.

14. Retention of an Acting-up allowance

Retention of an acting-up allowance by an acting post holder (in respect of a permanent post of responsibility) is permitted where he/she has carried out the role for a period in excess of 5 consecutive school years. Where an acting Assistant Principal post is held for a period in excess of 5 consecutive school years, the acting-up allowance may be retained on a personal basis subject to the discharge of appropriate roles and responsibilities. The Assistant Principal post retained on a personal basis must be counted when calculating the threshold of Assistant Principal posts. An acting appointment for a 5 year career break is excluded. In reference to the Job Sharing Scheme, the acting post holder does not establish personal entitlement to the allowance and relinquishes same when the job sharer resumes full time duties.

15. Appeal Procedure

This Appeal Procedure has been established to ensure that the correct procedures are followed in the appointment of Assistant Principal I, Assistant Principal II and Programme Co-ordinator posts. The appeal will not be a re-examination of the interview itself.

All application forms for posts of responsibility shall include reference to a candidate being able to access, **upon written request** all items listed (i) to (iv) below after s/he is notified of the outcome of the post of responsibility appointment process:

- (i) His/her marks under each selection criterion
- (ii) The notes of the interview board pertaining specifically to the candidate alone
- (iii) The marks of the recommended candidate(s) under each criterion
- (iv) For the 2017/2018 and 2018/2019 school years, service in the school/scheme of the most senior applicant.

16. Grounds for Appeal

16.1 Initiating the Process of Appeal

An applicant may appeal on one or more of the following grounds in relation to an alleged breach of procedure:

- (a) Pre-interview:
 1. Failure to consult staff on the roles and responsibilities as set out in 4.1
 2. Breach of the advertising rules
 3. Non-notification about the vacancy to teachers on leave of absence/ secondment

- (b) Composition of the Interview Board:
 - 4. Lack of gender mix on Interview Board
 - 5. Incorrect/ incomplete composition of the Interview Board
 - (c) Marking/Selection Criteria:
 - 6. A computational error in the Interview Board marking sheets which makes a material difference to the outcome
 - 7. A departure from the agreed selection criteria or marking scheme.
- 16.2 Supporting evidence² must be supplied in respect of the grounds for appeal.

17. Appeal Board

- 17.1 The Appeal Board will consist of a nominee of the relevant union, a nominee of the relevant management body and an independent chairperson from a panel agreed by the unions and management bodies.
- 17.2 No member shall be appointed to the Appeal Board to consider a case referred to it who has had prior interest in or dealings with that particular case. However, in circumstances where there is more than one appeal lodged in relation to the appointment process to the same post, an Appeal Board member may hear more than one appeal.

18. Process of Appeal

- 18.1 Appeals in respect of competitions for posts of Assistant Principals I, Assistant Principal II and Programme Co-ordinator posts shall be completed electronically on the agreed PDF appeal form. Only appeals completed and submitted using the electronic form will be processed. Appeals submitted after the Appeal Date will not be processed.
- 18.2 Both appeals and response to appeals are each limited to a total submission of 1,000 words.
- 18.3 Any costs incurred by appellants or the school/ ETB as the management respondent shall be their respective responsibility as a party to the appeal.
- 18.4 A candidate who wishes to appeal must lodge a completed PDF appeal form using the notified email address with the Secretary to the Board of Management/Manager/CE3 within 7 school days of issue of the notification of the outcome of the post of responsibility appointment process⁴.
- 18.5 The final date and time (4.00 p.m.) for notification of appeals to the Secretary to the Board of Management/Manager/CE shall be stated in the letter outlining the outcome of the appointment process. The date will become known as the Appeal Date and will be at 4.00 p.m. on the seventh school day, with day one commencing on the day after the outcome of the process is issued. If no appeal is received within this timeframe, the Board of Management/Manger/CE shall proceed with the appointment of the recommended candidate.
- 18.6 Documentation (if any) which is relied on to support an appeal and referenced in the appeal form must be submitted by email or in hard copy to the Secretary to the Board of Management/Manager/CE by 4.00 p.m. on the Appeal Date. Any such documentation received after this time will not be processed and will be returned to the appellant.
- 18.7 School days are defined as days in which the school is in operation for students. Where the outcome of the competition is notified to applicants in the week immediately prior to the June State examinations, the Appeal Date shall occur within the first 12 official examination days of the State

² Section 18 refers

³ CE or designated officer

⁴ When sent by email, the completed PDF appeal form attached will automatically be forwarded to the Appeal Board Secretariat for the relevant sector also.

examination period. If an appeal is received by this Appeal Date, no appeal hearing shall be convened before the next school year.

- 18.8 The Secretary to the Board of Management/Manager/CE will issue an acknowledgement of receipt of the appeal within 3 school days of the Appeal Date.
 - 18.9 The recommended candidate for the post will be advised by the Secretary to the Board of Management/Manager/CE that an appeal(s) has been lodged and that the appointment process is postponed pending the outcome of the appeal(s).
 - 18.10 On receipt of an appeal, the Secretary to the Board of Management/Manager/CE will also notify the Appeal Board Secretariat by email of the Appeal Date. The Appeal Board Secretariat will request nominees from the General Secretary of the relevant teachers' union and the General Secretary of the relevant management body and will identify the independent chairperson from the agreed national panel having regard to the agreement reached with respect to the selection of such chairpersons.
 - 18.11 The Secretary to the Board of Management/Manager/CE will forward the completed PDF management response form and supporting documentation to the Appeal Board Secretariat within 5 school days of the Appeal Date and will simultaneously send a copy of the management response to the appellant.
 - 18.12 The Secretary to the Board of Management/Manager/CE and the appellant will be notified by the Appeal Board Secretariat as to the composition of the Appeal Board within 7 school days of the *Appeal Date*.
 - 18.13 The Appeal Board will undertake a preliminary review of each appeal submitted, the corresponding management response submitted, supporting documentation and relevant Department of Education circulars/forms appropriate to such competitions.
 - 18.14 Only documents specifically referenced in the statement of appeal or management response to the appeal are admissible. Any additional and/or unsolicited documentation will not be considered by the Appeal Board and will be returned to sender. The Appeal Board may seek copies of certain documents relevant to its consideration of the appeal from either party to appeal. (See 19.2 below)
 - 18.15 Appellants are required to substantiate **each** appeal ground selected on the online appeal form with a supporting rationale/defence. The supporting rationale must be **relevant** to the specific appeal ground selected. Where nothing is provided by way of a rationale/ defence of the appeal ground selected, the appeal ground will be discounted by the Appeal Board and reference to this effect will be included in the Appeal Board determination.
 - 18.16 The Appeal Board will examine each appeal ground selected and supporting rationale/defence. The Appeal Board will examine the management response to the appeal ground selected and management's rationale/defence. Each appeal ground will be considered and adjudicated upon.
 - 18.17 Appeals shall not include observations or disparaging remarks of a personal nature including personalised comments about individuals. Where this transpires, it may lead to an appeal being disqualified by the Appeal Board or an oral hearing being terminated by the Appeal Board.
 - 18.18 Where the Appeal Board, having considered the appeal and response, deems it unnecessary to conduct a hearing, it shall notify the appellant and the Secretary to the Board of Management/Manager/CE of the outcome of the appeal within 15 school days of the *Appeal Date*.
 - 18.19 Where the Appeal Board, having considered the appeal and management response, decides that a hearing is necessary, it shall set a date for a hearing within fifteen school days of the *Appeal Date*. In the case of ETBs, the venue will be organised by the ETB and any meetings/hearings that arise thereto.
 - 18.20 During the course of an appeal, no communication may be made with the Appeal Board or any member thereof except in accordance with the Appeal Procedure or at the request of the Board.
-

19. Appeal Board Hearing

- 19.1 Purpose of the hearing:
- a) To allow the parties to the appeal to present their respective positions on the appeal
 - b) To allow each party to respond to the other party's position
 - c) To allow the Appeal Board the opportunity to seek clarifications on various relevant matters.
- 19.2 Where additional documentation is sought prior to an appeal hearing by the Appeal Board, the parties shall co-operate in furnishing same as soon as possible to the Appeal Board to facilitate the exchange of such documentation to the other party not later than 3 school days prior to the hearing. No documentation shall be admissible at the hearing which has not been supplied in accordance with this timeline. The Appeal Board may draw any inference/conclusion it desires in respect of the failure of either party to provide documentation requested by the Appeal Board.
- 19.3 The parties are bound by the terms of reference and protocol for the conduct of oral hearings. The parties are required to maintain confidentiality throughout this process and subsequent to the process.
- 19.4 The Appeal Board will base the hearing on the following documents: the appeal form, the management response form, accompanying documentation, relevant Department of Education and Skills circular letters and any documentation requested by the Appeal Board.
- 19.5 The appellant may be accompanied by a work colleague or friend. The nature of appeal hearing is such that legal representation is not appropriate.
- 19.6 The Board of Management/Manager/CE may be represented by the Principal or a member of the Board and this person may be accompanied by a management colleague.
- 19.7 Protocol for the Conduct of a Hearing
- (i) The Chairperson of the Appeal Board will clarify at the outset the process to be followed in accordance with this protocol. The appeal hearing will not constitute a re-run of the competition interview process, but will confine itself to an exploration of the ground/s of appeal and response to appeal ground/s.
 - (ii) Proceedings before the Appeal Board shall be informal. It is not a legal process.
 - (iii) The normal rules of due process and fair procedures apply.
 - (iv) The hearing will be conducted in plenary session with both parties and those accompanying them present. Side bar meetings and/or adjournments are facilitated at the discretion of the Appeal Board. The hearing will be conducted in a reasonable, calm and professional manner.
 - (v) Discourse will be conducted through the Appeal Chairperson.
 - (vi) The appellant is provided with an opportunity to speak to his/her appeal submission. Matters of clarification can be sought by the Appeal Board.
 - (vii) The school/ETB is provided with an opportunity to speak to its appeal response submission. Clarification can be sought by the Appeal Board.
 - (viii) Both parties are provided with an opportunity to comment on, ask questions on, address or rebut statements or commentary made or submitted by the other party to the appeal.
 - (ix) Members of the Appeal Board may ask questions of one or both parties.
 - (x) Prior to the conclusion of the hearing, each party will be invited to provide concluding remarks.
 - (xi) The Appeal Chairperson will then close the hearing and remind the parties of their obligations under confidentiality.

20. Outcome of Appeal Procedure

- 20.1 The Appeal Board determination will issue to the parties to the appeal and a copy simultaneously provided to the Appeal Board Secretariat within 5 school days of the hearing. The Appeal Board determination will conclude all matters with respect to the appeal.
-

- 20.2 If an appeal is rejected, the Board of Management/Manager/CE shall proceed with the appointment of the original recommended candidate in the post.
- 20.3 Where an appeal is upheld, the Appeal Board will advise the Board of Management/Manager/CE to recommence the appointment process at the appropriate stage, e.g. re-advertise the post or re-interview all the applicants.
- 20.4 In circumstances where the Appeal Board determines that an error was made in the computation of marks awarded that materially changes the outcome, the Appeal Board will specify the fact and advise the Secretary to the Board of Management/Manager/CE that the highest ranked candidate by reference to the correct application of the marks should be recommended for appointment in such circumstances.
- 20.5 As a matter of best practice, where recommencement of the selection process is required following an appeal, membership of the selection board should change except where there is a specific requirement in the current arrangements for the participation of a specific office holder.
- 20.6 Following the conclusion of the appeal, no communication may be made with the Appeal Board or any member thereof except in accordance with the Appeal Procedure or at the request of the Appeal Board.
- 20.7 However, in the event that the Appeal Board reviews an appeal or management response and a matter emerges which materially affects the outcome of the competition which was not cited in the appeal, the Appeal Board will adjudicate in respect of this particular matter and provide a comment on the matter in its determination. In such circumstances, schools/ETBs can seek the permission of the Appeal Board to recommence the competition at a previous stage to that of re-interviewing.
- 20.8 All matters relating to the appeal are strictly confidential to the parties to the appeal, the Appeal Board Secretariat and the Appeal Board. This includes all aspects of the appeal process (including documentation that arises thereto).

21. Data Protection, Administrative Matters and Review

- 21.1 All documentation held by the Appeal Board members will be returned by the Appeal Board Chairperson to the Appeal Board Secretariat. The documentation will be retained on file for a period of eighteen months following the appeal determination. Thereafter, the Appeal Board Secretariat will be responsible for confidential document destruction.
- 21.2 In circumstances where issues of clarification may arise, Appeal Boards have recourse to the relevant parties to this appeal procedure through the Appeal Board Secretariat.
- 21.3 Nothing shall preclude the parties at national level, being management bodies and unions post-primary, from collectively seeking feedback at intervals from the Appeal Board Chairpersons to inform continuing best practice and assist in seeking to clarify issues which may fall for review from time to time.
- 21.4 The operation of the Appeal Procedure will be subject to review as agreed at the Teachers' Conciliation Council.

22. Forms and Letters

The following are to be used for the purposes of this appeal procedure:

- Post of Responsibility Teacher Appeal Form (available in PDF format from the school/ETB).
- Management Response to Appeal Form (available in PDF format from post-primary management bodies' websites).
- Appendix 4: Notice to unsuccessful candidate/s re outcome of competition
- Appendix 5: Acknowledgement of receipt of an appeal
- Appendix 6: Notice to recommended candidate advising receipt of appeal

Appendix 1: Seniority

Applies to 2017/2018 and 2018/2019 school years.

Seniority will be reckoned as follows:

Service in the school or scheme

As this is an interim measure, the current method of calculation will apply.

The service in the school or scheme of the most senior applicant will qualify for 12 points. Using that service as a base line, it will be divided by four in order to establish four quartiles.

- All applicants in the top quartile will each receive 12 points
- All applicants in the second quartile will each receive 9 points
- All applicants in the third quartile will each receive 6 points
- All applicants in the lower quartile will each receive 3 points

Service as a teacher in another school or scheme

Any teacher who has service in another school or scheme recognised by the Department of Education and Skills, where such service is a minimum of the value of 1 quartile in the competition in question will be moved to the next higher quartile than that justified by service in the school, subject to not exceeding 12 points overall mark on seniority..

Appendix 2: Template Statement of Roles and Responsibilities

The post of responsibility structure in schools is based on a distributed leadership model, involving the establishment and facilitation of leadership teams with appropriately defined and shared responsibilities.

Subject to the overall authority of the Board of Management/ ETB, the post holder is accountable for the fulfilment of his/her role to the Principal of the school.

Name of Teacher:

Tick level of post: AP I AP II

1. Roles, Responsibilities and Objectives: (Guideline: Forms should be completed in a succinct manner e.g. maximum of 400 words.)

2. In consideration of the Teacher undertaking the roles and responsibilities assigned, the School shall authorise the Department of Education and Skills/ ETB to pay the appropriate allowance for the level (AP I or AP II) of post from the schedule of salaries and allowances for teachers at the rate agreed from time to time in the Teachers' Conciliation and Arbitration Scheme or such other Scheme as may replace same or be otherwise agreed from time to time, in addition to the Teacher's scale salary.
3. In the event of the Teacher having a dispute with the Principal in relation to any aspect of the roles and responsibilities attached to the post and, if the matter cannot be resolved through discussions between the Principal and the Teacher, the Teacher may appeal the issue to the Board of Management/ ETB. As an alternative to appealing the issue to the Board Of Management/ ETB, the Teacher may process the matter through a Grievance Procedure.

Date:

Signature: Teacher

Acknowledgement of Receipt:Principal

Date:

Appendix 3: Annual Report to Inform Review

Name of Teacher:

Tick level of post: AP I AP II

1. Outline of Roles, Responsibilities and Objectives fulfilled for the period XX to XX:

2. Self-Review of progress in regard to these areas :

Date:

Signature: Teacher

Acknowledgement of Receipt:Principal

Date:



Appendix 4: Notice to unsuccessful candidate/s re outcome of competition

Logo of School/ETB

Name of School/ETB

<Insert date>

<Insert addressee>

<Insert correspondence address>

RE: Notification of outcome of the selection process for the appointment of *Assistant Principal I/ Assistant Principal II/ Programme Co-ordinator post(s)* with *<insert name of school>*.

Dear *<Insert Name>*,

Thank you for your application and attendance at interview for the above post. I wish to advise you that you were unsuccessful on this occasion.

In the event that you wish to appeal the recommendation of the selection board you are required to:

- Log onto *<insert link to PDF form>*
- Familiarise yourself with the guidance provided
- Complete the PDF form
- When the form is completed press “Save and Send Mail”. The form will self-attach to an email. For the:
 - a) ETB sector, the email address will automatically insert;
 - b) Voluntary secondary school and community and comprehensive sectors, please insert the following email address (XXXX@XXX.XX). **Only** this notified email address should be used.
- Documentation (if any) which is relied on to support your appeal and referenced in your appeal form can:
 - (i) be attached to the email generated and submitted with the form or;
 - (ii) supplied in hard copy marked for the attention of the Secretary to the Board of Management/Manager/CE of *<insert name of school/ETB>* *<delete as appropriate>*.
- Completed appeal forms and relevant supporting documentation (if any), must be submitted no later than *4.00 p.m. on <insert date>* i.e. the Appeal Date. It is the responsibility of the appellant to ensure that the completed form and all supporting documentation is received by the school/ETB *<delete as appropriate>* by this date and time.
- Ensure to save copies of the form and supporting documentation for your own records.

A copy of the Circular containing the Appeal Procedure for the appointment of Assistant Principal I, Assistant Principal II and Programme Co-ordinator posts is available to download from *<insert website link>* or upon email request from your school/ETB *<delete as appropriate>*. We strongly recommend that you make yourself familiar with its contents. (To be changed).

Yours sincerely,

Secretary to Board of Management/ Manager/Chief Executive/HR Manager/
Head of Recruitment *<delete as appropriate>*

Appendix 5: Acknowledgment of receipt of an appeal

[For issue within 3 school days of the Appeal Date]

Logo of School/ETB

Name of School/ETB

<Insert date>

<Insert addressee>

<Insert correspondence address>

RE: Acknowledgment of receipt of appeal

Dear *<Insert Name>*,

I wish to confirm that *<insert name of school/ETB>* *<delete as appropriate>* is in receipt of your appeal with respect to the post of Assistant Principal I/ Assistant Principal II/ Programme Co-ordinator competition in *<insert name of school>*. This appeal was received on *<insert date>*.

Yours sincerely,

Secretary to the Board of Management/Manager/Chief Executive/HR Manager/
Head of Recruitment *<delete as appropriate>*

Appendix 6: Notice to recommended candidate advising receipt of appeal

[For issue on receipt of an appeal]

Logo of School/ETB

Address of School/ETB

<Insert date>

<Insert addressee>

<Insert correspondence address>

RE: Notice to recommended candidate advising receipt of an appeal(s) in respect of the Assistant Principal I/ Assistant Principal II/ Programme Co-ordinator competition in <Insert Name of School>

Dear *<Insert Name>*,

I wish to confirm that *<insert name of school/ETB>* is in receipt of an appeal(s) with respect to the above-named post. As the recommended candidate, I am advising you that your appointment to this post is postponed pending the outcome of the appeal(s) lodged.

You will be notified of the outcome in due course.

Yours sincerely,

Secretary to the Board of Management/Manager/Chief Executive/HR Manager/
Head of Recruitment *<delete as appropriate>*

APPENDIX 7

Circular PPT05/02

To: The Authorities of Secondary Schools
~Revised arrangements for eligibility for promotion posts in
secondary schools to include certain non-permanent
teaching service

Dept. of Education & Science
 Post Primary Teachers Section
 Cornamaddy,
 Athlone,
 Co. Westmeath.
 Tel. 090-6483808/3832
 Fax. 090-6484063



An Roinn Oideachais
 & Eolaíochta
 Rannóg Múinteoirí
 Iarbhunoideachais
 Cor na Madadh,
 Baile Átha Luain

The Minister for Education and Science wishes to advise the management authorities of secondary schools that, following the enactment of the Protection of Employees

(Part-Time Work) Act 2001, agreement has been reached with the Association of Secondary Teachers Ireland, the Joint Managerial Body and the Department of Education and Science on revised arrangements for eligibility for the posts of Principal, Deputy Principal, Assistant Principal and Special Duties Teacher. The revised arrangements are effective immediately and shall apply to future competitions and all current competitions where the selection process has not been completed.

Eligibility

Candidates for appointment to the post of Principal or Deputy Principal will be required to have a minimum of five years' whole-time teaching service or its equivalent.

In the case of Assistant Principal and Special Duties Teachers posts, all qualified teachers on the staff with a minimum 5 and 2 years' whole-time teaching service respectively or its equivalent in the school and who are contracted by the school for the full school year are eligible to apply for the post.

Calculation of Equivalent Service for eligibility for posts

The following service will reckon as a full years service:

- (a) Permanent, temporary, eligible part-time, substitute or part-time service which collectively or separately amount to 22 weeks or more in any one school year will reckon as a full year for eligibility purposes;

or

- (b) Permanent, temporary, eligible part-time, substitute or part-time service which, collectively or separately, amount to 200 hours or more in any one school year will reckon as a full year for eligibility purposes.

General

Appointment to a post of responsibility will be conditional on the teacher being available to carry out the duties assigned to the post. The nature of the duties attaching to posts of responsibility may require

attendance at the school over the entire school week. The performance of these duties by a part-time teacher may require a change in the attendance requirements of such a teacher on appointment to a post of responsibility. Where a part-time teacher is successful the entitlement of that teacher to the post will lapse on the expiry of the teaching contract except where the part-time teaching contract is followed immediately by a whole-time or further part-time teaching contract in the school.

No teacher may hold more than one post of responsibility at any one time.

Dissemination of circular

You are requested to ensure that copies of this circular are provided to the appropriate representatives of parents and teachers for transmission to individual parents and teachers.

Johnny Bracken,

Principal Officer.

April, 2002.

APPENDIX 8

Circular Letter PPT 06/02

Revised Arrangements for Eligibility for Promotion Posts in Vocational Schools and Community Colleges to Include Certain Non-Permanent Teaching Service.

Dept. of Education & Science
Post Primary Teachers Section
Cornamaddy,
Athlone,
Co. Westmeath.
Tel. 090-6483808/3832
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An Roinn Oideachais & Eolaíochta
Rannóg Múinteoirí Iarbhunoideachais
Cor na Madadh,
Baile Átha Luain

To: The Chief Executive Officer of
Each Vocational Education Committee.

Revised Arrangements for Eligibility for Promotion Posts in Vocational Schools and Community Colleges to Include Certain Non-Permanent Teaching Service.

The Minister for Education and Science wishes to advise that, following the enactment of the Protection of Employees (Part-Time Work) Act 2001, agreement has been reached with the Teachers' Union of Ireland, the Irish Vocational Education Association and the Department of Education and Science on revised arrangements for eligibility for the posts of Principal, Deputy Principal, Assistant Principal and Special Duties Teacher. The revised arrangements are effective immediately and shall apply to future competitions and all current competitions where the selection process has not been completed.

Eligibility

Candidates for appointment to the post of Principal and Deputy Principal will be required to have a minimum of five years' whole-time teaching service or its equivalent.

In the case of Assistant Principal and Special Duties Teachers posts, all qualified teachers on the staff of the school with a minimum of three and one years' whole-time teaching service respectively or its equivalent and who are contracted for the full school year are eligible to apply for the post.

Calculation of Service for eligibility for posts

The following service will reckon as a full year's service:

- (a) Permanent, temporary, eligible part-time, substitute or part-time service which collectively or separately amount to 22 weeks or more in any one school year will reckon as a full year for eligibility purposes;

or

- (b) Permanent, temporary, eligible part-time, substitute or part-time service which, collectively or separately, amount to 200 hours or more in any one school year will reckon as a full year for eligibility purposes.

General

Following success in the competition, appointment to a post of responsibility will be conditional on the teacher being available to carry out the duties assigned to the post. The nature of the duties attaching to posts of responsibility may require attendance at the school over the entire school week. The performance of these duties by a part-time teacher may require a change in the attendance requirements of such a teacher on appointment to a post of responsibility. Where a part-time teacher is successful the entitlement of that teacher to the post will lapse on the expiry of the teaching contract except where the part-time teaching contract is followed immediately by a whole-time or further part-time teaching contract.

No teacher may hold more than one post of responsibility at any one time.

Dissemination of circular

You are requested to ensure that copies of this circular are provided to the appropriate representatives of parents and teachers for transmission to individual parents and teachers.

Johnny Bracken

Principal Officer.

April 2002.

APPENDIX 9

REVISED IN-SCHOOL MANAGEMENT STRUCTURES IN SECONDARY SCHOOLS

Circular Letter 04/98



To the Authorities of Secondary Schools

REVISED IN-SCHOOL MANAGEMENT STRUCTURES IN SECONDARY SCHOOLS

Further to Circular 3/98, the Minister for Education and Science hereby authorises the implementation of the revised in-school management structures and procedures in relation to posts of Principal and Deputy Principal in secondary schools in accordance with the detailed terms set out in this circular as negotiated between the ASTI, the JMB and the Department of Education and Science with immediate effect.

It will be noted that in schools of 17 or more teachers, the appointment of Deputy Principals will be on the basis of open competition. This figure relates to the number as determined by reference to the calculation of wholtime teacher equivalents referred to in Circular 6/98.

DISSEMINATION OF CIRCULAR

You are requested to ensure that copies of this circular are provided to the appropriate representatives of parents and teachers for transmission to individual parents and teachers.

APPOINTMENT OF PRINCIPALS AND DEPUTY PRINCIPALS IN VOLUNTARY SECONDARY SCHOOLS

Introduction

The Principal, Deputy Principal and holders of posts of responsibility together form the in-school management team for the school.

The Principal has overall authority under the authority of the Board of Management / Manager for the day to day management of the school. The Principal controls the internal organisation, management and discipline of the school, including the assignment of duties to members of the teaching and non-teaching staff. The Principal submits to the Board all such statements and reports affecting the conduct of the school as the Board requires.

The Deputy Principal acts as the Principal in the absence of the Principal, and assists the Principal in the fulfilment of the Principal's role. This does not confer automatic right of succession to the Principalship or to any "Acting" Principalship arising.

1. The appointment of Principals will be on the basis outlined in Articles 20 and 21 of the *Articles of Management for Catholic Secondary Schools. In the case of schools not governed by the *Articles of Management, the existing practices in relation to such appointments will continue to apply. The selection process must be in accord with the principles enshrined in Articles 20 and 21 of the *Articles of Management.
2. The appointment of Deputy Principals will be on the basis of open competition except that in schools of less than 17 teachers the competition for appointment will be confined to the teachers in the school. The appointment procedures for Deputy Principals will be the same as those provided for Principals in the particular category of school identified in 1 above.
3. Candidates for appointment to the post of Principal or Deputy Principal will be required to have a minimum of five years wholetime teaching service.
4. On appointment, all Principals and Deputy Principals will be required to enter into appropriate contracts.
5. Principals' contracts are governed by the principles set out in the Introduction above. The Principals are accountable to their Boards of Management / Managers for the execution of their duties.
6. Existing Principals shall have their allowances enhanced as provided for in the PCW Agreement provided that they enter into an agreement to undertake the duties and responsibilities attached to their posts as outlined in their contracts.
7. Existing Vice-Principals shall be appointed to the post of Deputy Principal and have their allowances enhanced as provided for in the PCW Agreement provided that they enter into the agreements and new contracts to undertake the duties and responsibilities attached to the post. A specimen contract for Deputy Principals is set out in Appendix A.
8. In recognition of the important position which the Deputy Principal occupies in the administration and development of the school, the maximum teaching hours which may be assigned to a Deputy Principal should be in accordance with the following scale:

Number of teachers in the school	Teaching Hours
30 and over	8 hours
17-29	10 hours
< 17	15 hours

9. The Principal and Deputy Principal are accountable to the Board of Management / Manager.
10. The work of individual Principals and Deputy Principals will be monitored in accordance with the provisions of their contracts.
11. These revised arrangements will be reviewed regularly by the Department of Education and Science and the ASTI and JMB.

John Dennehy,
Secretary General.

February, 1998.

APPENDIX A

**SPECIMEN CONTRACT
FOR DEPUTY PRINCIPAL TEACHERS IN SECONDARY SCHOOLS**

1. Contract of Employment made this day [Date] between [name of employer and address] (hereinafter referred to as the School) and [name of Deputy Principal and address] (hereinafter referred to as the Deputy Principal).

The Board of Management / Manager of [school name] agrees to employ [name] as Deputy Principal teacher of the above named school with effect from [date]

2. **NATURE OF CONTRACT**

The contract is a contract of continuous employment whereby the school employs the teacher to undertake the teaching duties and the duties of Deputy Principal as described hereunder.

3. **SALARY AND PENSION**

In consideration of the Teacher undertaking the duties assigned, the School shall authorise the Department of Education and Science to pay the appropriate salary and allowance for the appropriate grade of Deputy Principal post from the schedule of salaries and allowances for teachers at the rates agreed from time to time in the Teachers' Conciliation and Arbitration Scheme or such other Scheme as may replace same or be otherwise agreed from time to time. The appropriate rate of Deputy Principal's allowance which will be paid to the Deputy Principal in addition to scale salary will be determined by reference to the criteria agreed nationally from time to time and published in Department of Education and Science Circulars.

Pension arrangements will be in accordance with the standard arrangements provided under the Secondary Teachers' Superannuation Scheme.

4. **EXPENSES**

Expenses necessarily incurred by the Deputy Principal in fulfilling the duties of Deputy Principal will be reimbursed at the rates applying to Principals.

5. **ENTITLEMENT TO LEAVE**

Entitlement to personal leave, sick leave and other general terms and conditions of employment will be in accordance with agreements negotiated from time to time and published in circulars by the Department of Education and Science.

6. **HOURS OF WORK**

The Deputy Principal will be required to be in attendance for days during the school year when the Board of Management/Manager of the school determines that the school should be open for pupils and in accordance with Department of Education and Science regulations.

The Deputy Principal may also be required by the Board of Management/Manager to be present in the school for periods during the State Examinations and for other reasonable periods outside of the normal opening hours and days of the school such as may be necessary from time to time. The Deputy Principal shall enter into an agreement with the Principal in respect of arrangements for such attendances. This agreement shall be subject to endorsement by the Board of Management/Manager.

The Deputy Principal's maximum teaching hours shall be as follows-

Number of teachers in the school	Teaching Hours
30 and over	8 hours
17 – 29	10 hours
<17	15 hours

Notwithstanding the maximum number of teaching hours stated above, the Deputy Principal will normally be required to be in attendance in the school throughout the school day.

7. TEACHING DUTIES

Subject to the provisions of Section 5 above, the Deputy Principal will teach the following

subjects

..... as assigned in the school timetable.

8. OTHER DUTIES

- (i) The Deputy Principal occupies a position of vital importance in the administration and development of the school. The Deputy Principal shall undertake responsibility under the direction of the Principal for the internal organisation, administration and discipline of the school.
- (ii) The Deputy Principal shall enter into an agreement with the Principal on the discharge of duties which arise outside of normal school hours or during school vacation periods.
- (iii) The Deputy Principal shall assist the Principal through the carrying out of the specific professional duties for which responsibility is delegated (cf. Schedule One).
- (iv) The Deputy Principal shall also enter into an agreement with the Principal to undertake other specific administrative duties from time to time, and commensurate with the responsibilities of the position.
- (v) The Deputy Principal shall act as Principal when the Principal is absent, assuming the responsibilities and authority of the Principal's role in accordance with the provisions of the *Articles of Management. In schools not governed by the *Articles of Management existing practices in relation to this arrangement will continue to apply. Such practices must be in accord with the principles enshrined in the Articles of Management. The Deputy Principal shall not, however, have automatic right of succession to the post of Principal or Acting Principal.
- (vi) The Deputy Principal shall be consulted by the Principal about the implementation of policy in the school and shall assist the Principal with the day-to-day running of the school.
- (vii) The Deputy Principal shall be kept informed, as appropriate, by the Principal about the decisions of the Board of Management/Manager. In schools which have a Board of Management established under the *Articles of Management, the Deputy Principal shall be kept informed about the decisions of the Board in accordance with the terms of the *Articles of Management.

9. REVIEW

- (i) The duties of the Deputy Principal will be reviewed periodically by the Principal and Deputy Principal or a review will be undertaken at the request of either party. Such a review will take account of the workload and responsibilities of the post, the changing needs of the school and the level of performance of the duties.
- (ii) In the event of a dispute arising between the Principal and Deputy Principal about any aspect of the duties of the post of Deputy Principal and, if the matter cannot be resolved through discussions between the parties, the matter will be referred to the Board of Management/Manager for resolution. As an alternative, the Deputy Principal may process any grievance concerning the level of duties or the implementation of the duties and responsibilities attached to the post of Deputy Principal through a Grievance Procedure where such has been agreed.

10. NOTICE

- (i) Three months notice in writing shall be given by either side to determine the contract.
- (ii) Subject to (iii) below the management authority shall have the power to determine the contract at any time, without notice, for serious misconduct or other sufficient reason.
- (iii) (a) The procedure for dismissal and appeal in schools operating under Catholic religious authority will be that obtaining in the General Agreement Section E made on 11th May, 1957 between the Catholic Headmasters' Association and the Association of Secondary Teachers Ireland and further adopted by the Conference of Convent Secondary Schools.

(b) In Secondary Schools other than those governed by the General Agreement the procedure for dismissal and appeal will be as agreed from time to time. Such procedures shall be implemented in the case of a dismissal.

- 11. Nothing in this contract shall operate to prejudice the rights of the Deputy Principal under Statute, Common Law or EU Laws pertaining to employment, whereby such rights are hereby confirmed.

The Articles of Management for Catholic Secondary Schools are based on an agreement between the Association of Secondary Teachers, Ireland, and the Association of Management of Catholic Secondary Schools and govern the establishment and operation of boards of management in AMCSS affiliated schools.





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